



To: Ms Rebeca Grynspan  
Associate Administrator

23 April 2010

Through: Judith Karl, Director  
Operations Support Group

Cleared: Abdul Hannan  
Policy Adviser, OSG

From: John Magoha, Programme Assistant  
Operations Support Group

Subject: Extension of DEX Authorization for Regional Project "Support to Spain-UNDP Trust Fund Towards Integrated and Inclusive Development"

Bureau: Regional Bureau for Latin America and the Caribbean

BASIC INFORMATION	
<i>Dates of authorization requested</i>	28 March 2010 through 27 March 2011
<i>Budget</i>	Total resources required to cover period of authorization: \$9,446,961.34 Resources allocated as per donor's policy: \$12,338,051.49
<i>Link to relevant corporate and regional programme outcome</i>	<b>Focus area1:</b> Poverty Reduction and MDG Achievement <b>Key result:</b> Promoting Inclusive Growth, Gender Equality and MDG Achievement <b>Outcome:</b> Strengthened capabilities of Governments to reduce poverty and inequality and promote inclusion, particularly for vulnerable and excluded groups <b>Focus area2:</b> Democratic governance <b>Key result:</b> Fostering inclusive participation <b>Outcome:</b> Civil society, including civil society organizations and voluntary associations, and the private sector contribute to the MDGs in support of national planning strategies and policies
RECENT RATINGS	
<i>Evaluation findings</i>	The project manager has certified that the required reporting for all DEX authorizations is up to date.  This was originally designed to be a one-year project that has since been extended twice to date. No external independent evaluations have been undertaken, though a steering committee will meet in June 2010 to determine appropriate steps.



<b>JUSTIFICATIONS</b>	
<i>Justification for DEX</i>	Given the regional nature of the project, implementation would require a highly-networked institution. There is neither regional institution nor another UN agency with the capacity to carry out the project.
<i>Other</i>	-
<b>DECISION</b>	
<i>Approval and other actions</i>	<p>OSG recommends approval of extension of direct execution of this new phase of the project for the period <b>26 April 2010 to 31 December 2010 inclusive</b>.</p> <p>OSG takes note of the apparent relevance, positive substantive performance and sound relationship with the donor. Nevertheless, OSG recommends that RBLAC considers an evaluation of the project to: (a) independently assess performance to date; and, if appropriate, (b) recommend its future duration; and (c) (re)confirm the substantive profile of the project beyond 31 December 2010.</p>
<i>Timeline prior to decision</i>	<p>1 April : Request signed by RBLAC senior management</p> <p>15 April: OSG receives the request and begins review</p> <p>16-19 April: Follow up questions from OSG to RBLAC on status of review/evaluation of project</p> <p>20-22 April: OSG finalizes review after further consultation with BDP</p>



**Interoffice Memorandum**

To: Ms Rebeca Grynspan  
Associate Administrator

From: Niky Fabiansic  
Deputy Assistant Administrator and Deputy Director  
Regional Bureau for Latin America and the Caribbean

Date: 01 April 2010

Extension: 5402

Subject: **Request for extension of DEX authorization for Regional Project "Support to Spain-UNDP Trust Fund, Towards Integrated and Inclusive Development" ATLAS # 00046620- DEX from March 28<sup>th</sup>, 2010 until March 27<sup>th</sup>, 2011**

**Brief Description and Results Achieved until March 2010**

The main objectives of the Project "Support to Spain-UNDP Trust Fund, *"Towards Integrated and Inclusive Development"* are to complement efforts in Latin America and the Caribbean to reduce poverty and inequality - in line with the achievement of the Millennium Development Goals, and to strengthen and consolidate democratic governance in the region.

To achieve these outcomes the project provides direct support to the implementation of projects developed under the Spanish Agency for International Cooperation and Development (AECID) Spain-UNDP Trust Fund "Towards Integrated and Inclusive Development in Latin American and the Caribbean." Such support is granted both administratively and technically. Moreover, this project contributes to the development of regional initiatives resulting from this joint initiative.

Most of the work has revolved around the original thematic areas of poverty reduction and democratic governance. In addition, in 2007 the Executive Committee of the Trust Fund included Human Development Reports and Gender as two more key work areas for the Trust Fund, which initiated operations in 2008, continued in 2009 and will continue in 2010. The project has been in execution from March 2007 until the present and has had the following main achievements:

A. Poverty reduction, inequality and MDGs:

- A systematic survey among the Latin American countries on "Response of the Social Policies to the impact of the international crisis in Latin America" was implemented. The information collected from the survey allowed the preparation of the Virtual Forum on Social Responses to the crisis, where officials from the Social Ministries of Latin America participated along with UNDP and AECID officials, representing 15 countries of the Region. A book with the contents of debates of the Second Forum was published: "Es difícil ser joven en América Latina", compiled by Bernardo Kliksberg and including a special work of the Nobel Prize Joseph Stiglitz.
- The active role of civil society in social inclusion and MDG achievement policies were promoted by creating the Iberoamerican network of University Volunteering towards the social inclusion (REDIVU). A Seminar on Policies and Management Tools to Promote University Volunteering" was held

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in Madrid, as an opening event of the Escuela Universitaria Iberoamericana de Compromiso Social y Voluntariado, in coordination with the Universidad Autónoma de Madrid.

#### B. Human Development Reports:

- Support to the **elaboration of the following HDRs** has been provided: 1) Regional Human Development Report, on Inequality, 2) MERCOSUR Subregional Human Development Report, on Youth and Vulnerability and 3) Central America Subregional Human Development Report, on Security.

#### C. Strengthening of democratic governance:

- Support to the **elaboration of the II Regional Report on Democracy in Latin America**, in which there is a focus on supporting initiatives to increase social participation in politics, and dialogue processes in the Region are strengthened
- **Network of Universities for Corporate Social Responsibility (CSR)** has established a focal point at the Universidad de Buenos Aires, Argentina, and has initiated its strategic plan focusing on virtual education, research, information, and debate on CSR. The network REDUNIRSE was created and has already trained 300 professors from 100 universities in how to teach these topics and the course work has been incorporated into the universities' permanent curricula.
- In October 2009 a **Forum on "The impact of the crisis in the Democratic Governance of Latin America and the Caribbean"** was held in Montevideo, Uruguay. A total of 43 experts from around the Region attended this Forum, which focused on social cohesion and the vulnerability of the democratic institutions in the economic and social crisis.
- Remarkable advancements have been done raising awareness about the integral concept and scope on human development and **citizen security**, especially regarding the media. A book with the contents of meetings held in Uruguay and Paraguay in 2008 on the role of media in the Region Security was published.
- **New leadership's development.** In 2009, two seminars for Youth Indigenous People were organized in Guatemala and Ecuador, with the aim of promoting a development program among young social and political leaders. This initiative also includes the Amartya Sen Program "100 jóvenes por un desarrollo con ética", aimed at training the best recently graduated young people in the areas of management and economics in order to offer them the tools in management, development, public policies, democratic governance, ethics and economy.

#### D. Gender:

- The **Meeting of LAC Parliamentarian Women**, was held in Madrid in June 2009, with the participation of 57 parliamentarian women from 20 Latin American countries, representing 42 political parties. They came together to share viewpoints and experiences about social inclusion and Gender equality in the Region.



The Trust Fund adheres to the framework of the third regional cooperation framework of the Regional Bureau for Latin America and the Caribbean.

### Objectives of the Project until March 27th 2011

The project solicits an extension from March 28th 2010 until March 27th 2011. The overall strategy of the Trust Fund will be to continue to complement efforts in the region to reduce poverty and inequality and to strengthen and consolidate democratic governance, partnering with civil society, private sector, and government actors. In the coming year, additional innovative initiatives will be pursued in order to achieve the Trust Fund's main objective of integrated and inclusive development. An extension is requested due to the following concrete actions and products that will be delivered in Latin America and the Caribbean:

#### A. Poverty reduction, inequality and MDGs:

- Technical assistance provided for National Anti-Poverty Strategies, in response to the demand of national governments, in order to incorporate MDG indicators in national plans.
- Organization of the 3<sup>rd</sup> and 4<sup>th</sup> Strategic Social Forum.
- Civil society participation in MDG achievement will be increased, with the strengthening of REDIVU.
- The Regional Human Development Report on inequality will be published; MERCOSUR subregional report on youth and vulnerability and the Central American subregional report on security will be promoted.

#### B Strengthening of democratic governance:

- Regional Report on Democratic Governance and Socio-Economic Democratization will be elaborated.
- Corporate Social Responsibility will be disseminated throughout the region's universities with the solidification of the University Network for Corporate Social Responsibility and the offer of virtual training-of-trainers courses.
- Actions on Governance will be held to promote debate and exchange of experiences and best practices in democratic governance at the subregional and regional level.
- The initiative *Improving Citizen Security and Violence Reduction Policies* will continue to be carried out to promote a holistic perspective on citizen security.
- *Development of Young Leadership* will be strengthened with training programs on inclusive human development for youth leaders in political parties and civil society, as well as within indigenous communities
- *Support to the Virtual School for Latin America and the Caribbean* will be provided to enhance both institutions' offerings of virtual courses on human development and governance.



- *Promotion of Dialogue and Deliberation* will be pursued via capacity building of strategic development actors at the national and local levels, training workshops, and technical assistance in dialogue processes.

#### C Gender:

- Network of women in parliaments will be strengthened.
- Additional regional initiatives to promote the equitable participation of women in the Executive & Legislative will be undertaken.

As described above, the project's continued activities are responding to the demands generated in the region. Further detail is specified in the 2010 Work Plan (attached)

#### Important remarks on the Spain-UNDP Trust Fund

- The DEX extension requested covers the 2010 annual work plan for the regional initiatives of the TF, agreed with the donor. In this sense it is important to remark that the outcomes set for the TF with the donor are based on a multiyear achievement. So, for 2010, we are only considering activities that in addition to the ones developed in 2007, 2008, 2009 and the ones which would be developed in 2011, 2012 and so on (the TF has not established a duration), will contribute to achieve specific outcomes and outputs. Nevertheless, we have already set some targets for 2010, linked to the RBLAC's ERBM platform (enclosed a document with the targets, indicators and baseline set by the TF to contribute to RBLAC's outputs expected in 2010).
- Regarding the strengthening of capacities of national and regional partners, the baseline is similar. Most of the regional initiatives of the TF include the capacity building of partners as a cross-cutting issue, as it is part of its future sustainability. In this sense, some of the activities planned strongly contribute to develop this capacities, for instance, in Corporate Social Responsibility, we are strengthening the capacity of "Centro Nacional de Responsabilidad Social Empresarial - CENARSECS" to continue supporting the Network of universities with capacities on CSR, once the TF concludes its support.
- We have also some initiatives awareness-raising and /or advocacy based, that contribute to develop specific capabilities like analysis, informed dialogue, deliberation, and concertation (consensus building) among participants and key actors of social development.
- We are in the process of changing the Trust Fund Manager from Ms. Rebeca Grynspan to Mr. Niky Fabianic, Deputy Director of RBLAC, as Ms Rebeca Grynspan has taken up a new post.

#### Justification for Direct Execution

On March 27<sup>th</sup>, 2007, the Associate Administrator approved the Direct Execution for this project (please see OSG#722 8-8-2007). The justification for the extension is as follows:

- 1) This project responds directly to the institutional priorities, expressed jointly by both AECID UNDP's strategic plans, to reduce poverty and inequality and deepen democratic governance in the Latin-American region. A DEX authorization is needed in order to provide technical and administrative support in the implementation of this common agenda.



- 2.) Such political alignment between these actors and the high-level political and technical debates that will include the AECID, UNDP and the LAC region highlights the need for UNDP to manage the project to ensure effective delivery. The past year's success under DEX authorization reinforces the need to continue the successful delivery of proposed outcomes.
- 3.) The success of the project will depend on the coordination of the individual initiatives and projects that will take off under the umbrella of the Spain-UNDP trust fund as well as the support we are able to garner from a number of regional and national actors in the region.
  - a. Coordination is required among numerous countries, as well as between both regional and national initiatives.
  - b. Coordination is also required among prestigious institutions in the region, UN agencies, and civil society actors.
- 4.) UNDP has a unique comparative advantage in building networks amongst leading institutions in the economic and political spheres across the Latin American and Caribbean community, which is a core activity throughout the life of the Trust Fund. The credibility and neutrality of the UNDP is key in supporting these strategic alliances.
- 5.) In line with Donor expectations and to ensure continued results, rigorous monitoring of Trust Fund activities is essential; which necessitates the UNDP execution.

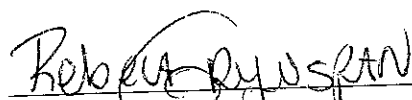
Direct Execution by UNDP RBLAC is thus advisable, since it has the credibility, the capacity (technical and administrative) and the necessary networking resources to carry out the consultations with experts and practitioners throughout the region.

Consequently, I would appreciate your authorization to extend direct execution of this project from 28 March 2010 until 27 March 2011.

Thank you.

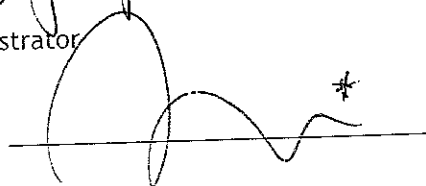
Best regards.

Attached: Former DEX request, Project Document, Annual Workplan for 2010 and Progress Report for 2009.

  
Rebeca Grynspan  
Associate Administrator

Date: 25 April, 2010

Cleared by OSG:



Date: 23 Apr 10

\* See terms in cover memo to AA

